

APEX CLEARING  
ONE DALLAS CENTER  
350 N. ST PAUL, SUITE 1300  
DALLAS, TX 75201

Account No: 5UU67550  
Account Name: PIYUSH MAHAJAN  
Taxpayer Identification Number:\*\*\*-\*\*-6133

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

**ROBINHOOD**  
**(650) 940-2700**

## CONSOLIDATED 2018 FORMS 1099 AND DETAILS

PIYUSH MAHAJAN  
1550 IRON POINT RD 1624  
FOLSOM, CA 95630 7816

### YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER-DEALER OR REGISTERED ADVISOR TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S, INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099. IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-DIV  
1099-INT  
1099-OID  
1099-MISC

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**FORM 1099-B TOTALS SUMMARY**

**REALIZED GAIN / LOSS SUMMARY**

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

<b>SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I</b>	<b>PROCEEDS</b>	<b>COST BASIS</b>	<b>MARKET DISCOUNT</b>	<b>WASH SALE LOSS DISALLOWED</b>	<b>REALIZED GAIN OR (LOSS)</b>
Box A (basis reported to IRS)	\$14,218.17	\$13,324.49	\$0.00	\$11.02	\$904.70
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Short-Term</b>	<b>\$14,218.17</b>	<b>\$13,324.49</b>	<b>\$0.00</b>	<b>\$11.02</b>	<b>\$904.70</b>
<b>LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II</b>					
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Long-Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II</b>					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Unknown Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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**(650) 940-2700**

**RECIPIENT'S Name, Street Address, City, State, and Zip Code**

PIYUSH MAHAJAN  
 1550 IRON POINT RD 1624  
 FOLSOM, CA 95630 7816

**Payer's Federal Identification Number:** 13-2967453

**Payer's Name, Street, City, State, Zip Code:**

APEX CLEARING  
 ONE DALLAS CENTER  
 350 N. ST PAUL, SUITE 1300  
 DALLAS, TX 75201  
**Telephone Number:** 214-765-1009

Copy B for recipient  2nd TIN Notice  
 FATCA Filing Requirement

**2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

**Covered Short-Term Gains or Losses on Gross Proceeds**  
 Report on Form 8949, Part I with Box A checked

**Box 6:** Gross Proceeds

**Box 5:** Box Not Checked (Covered Security)

**Box 3:** Basis Reported to the IRS

**Box 2:** Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ABERCROMBIE & FITCH CO-CL A CUSIP: 002896207	10.00000	08/31/2018	09/24/2018	\$209.68	\$223.00	\$0.00	\$0.00	(\$13.32)	
BIOLIFE SOLUTIONS INC COM CUSIP: 09062W204	10.00000	09/06/2018	10/01/2018	\$181.10	\$200.00	\$0.00	\$0.00	(\$18.90)	
***CANOPY GROWTH CORPORATION COMMON SHARES CUSIP: 138035100	7.00000	08/23/2018	08/29/2018	\$323.73	\$293.02	\$0.00	\$0.00	\$30.71	
CHESAPEAKE ENERGY CORP CUSIP: 165167107	1.00000	08/28/2018	09/05/2018	\$4.29	\$4.54	\$0.00	\$0.00	(\$0.25)	
***CRONOS GROUP INC COM CUSIP: 22717L101	5.00000 58.00000 3.00000	08/31/2018 08/31/2018 08/31/2018	09/04/2018 09/04/2018 09/04/2018	\$54.98 \$637.98 \$32.98	\$48.50 \$562.60 \$29.10	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$6.48 \$75.38 \$3.88	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES  
 The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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Account Executive No: DPA

ORIGINAL: 02/01/2019

**2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**  
**Report on Form 8949, Part I with Box A checked**

**Box 6:** Gross Proceeds

**Box 5:** Box Not Checked (Covered Security)

**Box 3:** Basis Reported to the IRS

**Box 2:** Type of Gain or Loss - **Short-Term**

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***CRONOS GROUP INC COM CUSIP: 22717L101	4.00000	08/31/2018	09/04/2018	\$43.98	\$38.80	\$0.00	\$0.00	\$5.18	
<b>Subtotals</b>	<b>70.00000</b>			<b>\$769.92</b>	<b>\$679.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$90.92</b>	
FACEBOOK INC CL A CUSIP: 30303M102	1.00000	07/30/2018	08/24/2018	\$174.01	\$175.21	\$0.00	\$0.00	(\$1.20)	
	4.00000	07/31/2018	08/24/2018	\$696.06	\$682.40	\$0.00	\$0.00	\$13.66	
<b>Subtotals</b>	<b>5.00000</b>			<b>\$870.07</b>	<b>\$857.61</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$12.46</b>	
GOODYEAR TIRE & RUBBER CO CUSIP: 382550101	10.00000	08/31/2018	09/10/2018	\$236.08	\$225.00	\$0.00	\$0.00	\$11.08	
***IMMUTEP LIMITED AMERICAN DEPOSITARY SHARES CUSIP: 45257L108	300.00000	08/31/2018	09/05/2018	\$899.94	\$761.73	\$0.00	\$0.00	\$138.21	
INDIA GLOBALIZATION CAPITAL INC CUSIP: 45408X308	29.00000	09/06/2018	09/18/2018	\$38.28	\$39.15	\$0.00	\$0.00	(\$0.87)	
	244.00000	09/06/2018	09/18/2018	\$322.07	\$329.40	\$0.00	\$0.00	(\$7.33)	
	14.00000	09/07/2018	09/18/2018	\$18.48	\$16.42	\$0.00	\$0.00	\$2.06	
	57.00000	09/07/2018	09/18/2018	\$75.23	\$67.26	\$0.00	\$0.00	\$7.97	
<b>Subtotals</b>	<b>344.00000</b>			<b>\$454.06</b>	<b>\$452.23</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1.83</b>	
INTEL CORP CUSIP: 458140100	2.00000	07/31/2018	08/24/2018	\$95.49	\$96.00	\$0.00	\$0.00	(\$0.51)	
	2.00000	08/20/2018	08/24/2018	\$95.49	\$94.40	\$0.00	\$0.00	\$1.09	
<b>Subtotals</b>	<b>4.00000</b>			<b>\$190.98</b>	<b>\$190.40</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.58</b>	
MICHAELS COMPANIES INC (THE) COM CUSIP: 59408Q106	1.00000	08/31/2018	09/12/2018	\$17.40	\$17.00	\$0.00	\$0.00	\$0.40	
	4.00000	08/31/2018	09/12/2018	\$69.60	\$67.92	\$0.00	\$0.00	\$1.68	
	1.00000	08/31/2018	09/12/2018	\$17.38	\$17.00	\$0.00	\$0.00	\$0.38	
	12.00000	08/31/2018	09/12/2018	\$208.80	\$204.00	\$0.00	\$0.00	\$4.80	
<b>Subtotals</b>	<b>18.00000</b>			<b>\$313.18</b>	<b>\$305.92</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$7.26</b>	

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**2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

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NETFLIX COM INC CUSIP: 64110L106	1.00000	08/21/2018	08/29/2018	\$368.98	\$339.30	\$0.00	\$0.00	\$29.68	
NUTANIX INC CL A CUSIP: 67059N108	1.00000	08/20/2018	08/23/2018	\$56.73	\$53.00	\$0.00	\$0.00	\$3.73	
PENUMBRA INC COM CUSIP: 70975L107	4.00000	08/08/2018	08/29/2018	\$525.98	\$498.40	\$0.00	\$0.00	\$27.58	
***PINDUODUO INC AMERICAN DEPOSITARY SHARES CUSIP: 722304102	2.00000 8.00000	08/31/2018 08/31/2018	09/04/2018 09/04/2018	\$41.26 \$163.98	\$36.00 \$144.00	\$0.00 \$0.00	\$0.00 \$0.00	\$5.26 \$19.98	
<b>Subtotals</b>	<b>10.00000</b>			<b>\$205.24</b>	<b>\$180.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$25.24</b>	
SPARK THERAPEUTICS INC COM CUSIP: 84652J103	4.00000	08/07/2018	08/27/2018	\$248.98	\$220.80	\$0.00	\$0.00	\$28.18	
CALL AMD 10/12/18 37 CUSIP: 8BSBYZ4	1.00000	09/13/2018	10/12/2018	\$0.00	\$182.00	\$0.00	\$0.00	(\$182.00)	EXPIRATION
CALL UPS 11/16/18 140 CUSIP: 8CWVBF3	1.00000	09/14/2018	10/19/2018	\$2.98	\$12.00	\$0.00	\$0.00	(\$9.02)	
CALL PFE 11/02/18 43.5 CUSIP: 8E48536	1.00000 1.00000	09/14/2018 09/21/2018	09/20/2018 10/01/2018	\$113.98 \$122.98	\$73.00 \$103.00	\$0.00 \$0.00	\$0.00 \$0.00	\$40.98 \$19.98	
<b>Subtotals</b>	<b>2.00000</b>			<b>\$236.96</b>	<b>\$176.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$60.96</b>	
CALL RP 10/19/18 70 CUSIP: 8GBWMN7	1.00000	09/17/2018	10/01/2018	\$44.98	\$35.00	\$0.00	\$0.00	\$9.98	
PUT CRON 01/18/19 10 CUSIP: 8GFWNY3	1.00000	10/16/2018	10/19/2018	\$244.98	\$190.00	\$0.00	\$0.00	\$54.98	

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**2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

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CALL GRUB 10/19/18 155 CUSIP: 8GMDGK3	1.00000	09/14/2018	10/19/2018	\$0.00	\$330.00	\$0.00	\$0.00	(\$330.00)	EXPIRATION	
CALL NVDA 10/19/18 275 CUSIP: 8GVTVS6	1.00000	09/26/2018	09/28/2018	\$936.97	\$384.00	\$0.00	\$0.00	\$552.97		
CALL NVDA 10/19/18 285 CUSIP: 8GVTVT0	1.00000	09/18/2018	09/28/2018	\$489.98	\$445.00	\$0.00	\$0.00	\$44.98		
CALL NVDA 10/19/18 290 CUSIP: 8GVTVT2	1.00000	09/26/2018	09/28/2018	\$429.98	\$87.00	\$0.00	\$0.00	\$342.98		
CALL CRON 01/18/19 13 CUSIP: 8J86550	1.00000	09/28/2018	10/01/2018	\$234.98	\$200.00	\$0.00	\$0.00	\$34.98		
CALL CRON 01/18/19 14 CUSIP: 8J86554	1.00000	10/03/2018	10/15/2018	\$184.98	\$150.00	\$0.00	\$0.00	\$34.98		
		1.00000	10/03/2018	10/16/2018	\$189.98	\$150.00	\$0.00	\$0.00	\$39.98	
<b>Subtotals</b>	<b>2.00000</b>			<b>\$374.96</b>	<b>\$300.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$74.96</b>		
CALL AAPL 10/12/18 230 CUSIP: 8KBCWB0	1.00000	09/18/2018	09/27/2018	\$189.98	\$166.00	\$0.00	\$0.00	\$23.98		
CALL V 10/19/18 155 CUSIP: 8KTCBS5	1.00000	09/17/2018	10/01/2018	\$65.98	\$41.00	\$0.00	\$0.00	\$24.98		
CALL CGC 09/28/18 46.5 CUSIP: 8KWQKT4	1.00000	09/14/2018	09/17/2018	\$399.98	\$310.00	\$0.00	\$0.00	\$89.98		
CALL CRM 10/05/18 155 CUSIP: 8KWWDW9	1.00000	09/12/2018	09/13/2018	\$564.98	\$415.00	\$0.00	\$0.00	\$149.98		
CALL CRM 10/05/18 160 CUSIP: 8KWFFF0	1.00000	09/17/2018	09/24/2018	\$237.98	\$192.00	\$0.00	\$0.00	\$45.98		
CALL CRM 10/05/18 165 CUSIP: 8KWFFF4	1.00000	09/13/2018	09/14/2018	\$86.98	\$148.00	\$0.00	\$0.00	(\$61.02)		

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**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**  
Report on Form 8949, Part I with Box A checked

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CALL CGC 11/16/18 55 CUSIP: 8KYHKK6	1.00000	10/01/2018	10/15/2018	\$489.98	\$420.00	\$0.00	\$0.00	\$69.98	
CALL NVDA 10/05/18 285 CUSIP: 8LLJVY1	1.00000	09/13/2018	09/13/2018	\$291.98	\$279.00	\$0.00	\$0.00	\$12.98	
	1.00000	09/13/2018	09/14/2018	\$454.98	\$283.00	\$0.00	\$0.00	\$171.98	
<b>Subtotals</b>	<b>2.00000</b>			<b>\$746.96</b>	<b>\$562.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$184.96</b>	
PUT CGC 01/18/19 45 CUSIP: 8LLRZQ6	1.00000	10/15/2018	10/17/2018	\$529.98	\$470.00	\$0.00	\$0.00	\$59.98	
CALL ISRG 10/05/18 610 CUSIP: 8LMQTT1	1.00000	09/14/2018	09/26/2018	\$24.98	\$86.00	\$0.00	\$0.00	(\$61.02)	
CALL VEEV 12/21/18 120 CUSIP: 8LNCFK1	1.00000	10/01/2018	10/19/2018	\$44.98	\$260.00	\$0.00	\$0.00	(\$215.02)	
CALL NVDA 10/12/18 290 CUSIP: 8NCKVJ6	1.00000	09/17/2018	09/28/2018	\$293.98	\$330.00	\$0.00	\$0.00	(\$36.02)	
CALL AMD 10/19/18 42 CUSIP: 8NCQRT1	1.00000	09/13/2018	10/19/2018	\$0.00	\$102.00	\$0.00	\$0.00	(\$102.00)	EXPIRATION
CALL PAYC 09/21/18 175 CUSIP: 8NCSMX4	1.00000	09/11/2018	09/14/2018	\$14.98	\$10.00	\$0.00	\$0.00	\$4.98	
CALL ADBE 10/26/18 275 CUSIP: 8NCTTJ4	1.00000	09/25/2018	09/25/2018	\$419.98	\$425.00	\$0.00	\$0.00	(\$5.02)	
CALL ADBE 10/26/18 287.5 CUSIP: 8NCTTK4	1.00000	09/14/2018	10/16/2018	\$14.98	\$425.00	\$0.00	\$0.00	(\$410.02)	
CALL MA 10/26/18 230 CUSIP: 8NCYXJ7	1.00000	09/14/2018	09/17/2018	\$126.98	\$138.00	\$0.00	\$11.02	\$0.00	
	1.00000	09/17/2018	09/18/2018	\$153.98	\$130.02	\$0.00	\$0.00	\$23.96	
<b>Subtotals</b>	<b>2.00000</b>			<b>\$280.96</b>	<b>\$268.02</b>	<b>\$0.00</b>	<b>\$11.02</b>	<b>\$23.96</b>	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES  
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.



APEX CLEARING  
 ONE DALLAS CENTER  
 350 N. ST PAUL, SUITE 1300  
 DALLAS, TX 75201

Account No: 5UU67550  
 Account Name: PIYUSH MAHAJAN  
 Taxpayer Identification Number: \*\*\*-\*\*-6133

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

**ROBINHOOD**  
**(650) 940-2700**

**2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CALL AMD 09/21/18 39 CUSIP: 8NDDNZ6	1.00000	09/11/2018	09/12/2018	\$19.98	\$10.00	\$0.00	\$0.00	\$9.98	
CALL AMD 10/12/18 40 CUSIP: 8NDDPB2	1.00000	09/11/2018	09/13/2018	\$120.98	\$33.00	\$0.00	\$0.00	\$87.98	
CALL CGC 11/30/18 51 CUSIP: 8NFXLT7	1.00000	10/18/2018	10/19/2018	\$529.98	\$500.00	\$0.00	\$0.00	\$29.98	
CALL PEN 10/19/18 165 CUSIP: 8X44888	1.00000	09/11/2018	09/12/2018	\$219.98	\$120.00	\$0.00	\$0.00	\$99.98	
CALL PEN 10/19/18 170 CUSIP: 8X44890	1.00000	09/12/2018	09/28/2018	\$29.98	\$175.00	\$0.00	\$0.00	(\$145.02)	
TWITTER INC CUSIP: 90184L102	1.00000	08/02/2018	08/21/2018	\$33.92	\$31.52	\$0.00	\$0.00	\$2.40	
<b>66 ITEMS - TOTAL</b>				<b>\$14,218.17</b>	<b>\$13,324.49</b>	<b>\$0.00</b>	<b>\$11.02</b>	<b>\$904.70</b>	

**FOOT NOTES**

**SHORT SALE** - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

**END OF 2018 FORM 1099-B**

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES  
 The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,  
 a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

**Form 1099-B (OMB NO. 1545-0715)**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable check box on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Box 3.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary box in box 2 is checked, an adjustment may be required.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for option premium.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

**Form 1099-B (OMB NO. 1545-0715)****Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):**

**Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2018.

**Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2017.

**Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2018. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2018 in 2019.

**Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2018 Form 6781.

**Box 12.** If checked, proceeds are from a transaction involving collectibles.

**Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Boxes 14-16.** Shows state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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Account No: 5UU67550  
 Account Name: PIYUSH MAHAJAN  
 Taxpayer Identification Number:\*\*\*-\*\*-6133

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

**ROBINHOOD**  
**(650) 940-2700**

**2018 INVESTMENT DETAILS**

**DETAILS OF 2018 INVESTMENT ACTIVITY**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
08/31/18	ABERCROMBIE & FITCH CO-CL A	002896207	PURCHASE	10.000	\$22.30	\$223.00	
09/24/18	ABERCROMBIE & FITCH CO-CL A	002896207	SELL	10.000	\$20.97	\$209.68	
08/23/18	ADVANCED MICRO DEVICES INC COM	007903107	PURCHASE	10.000	\$21.78	\$217.80	
09/05/18	APPFOLIO INC CLASS A COM	03783C100	PURCHASE	4.000	\$84.50	\$338.00	
09/06/18	BIOLIFE SOLUTIONS INC COM	09062W204	PURCHASE	10.000	\$20.00	\$200.00	
10/01/18	BIOLIFE SOLUTIONS INC COM	09062W204	SELL	10.000	\$18.11	\$181.10	
08/23/18	***CANOPY GROWTH CORPORATION COMMON SHA	138035100	PURCHASE	7.000	\$41.86	\$293.02	
08/29/18	***CANOPY GROWTH CORPORATION COMMON SHA	138035100	SELL	7.000	\$46.25	\$323.73	
09/06/18	***CANOPY GROWTH CORPORATION COMMON SHA	138035100	PURCHASE	6.000	\$49.00	\$294.00	
09/05/18	CHESAPEAKE ENERGY CORP	165167107	SELL	1.000	\$4.31	\$4.29	
08/31/18	***CRONOS GROUP INC COM	22717L101	PURCHASE	70.000	\$9.70	\$679.00	
09/04/18	***CRONOS GROUP INC COM	22717L101	SELL	3.000	\$11.00	\$32.98	
09/04/18	***CRONOS GROUP INC COM	22717L101	SELL	4.000	\$11.00	\$43.98	
09/04/18	***CRONOS GROUP INC COM	22717L101	SELL	5.000	\$11.00	\$54.98	
09/04/18	***CRONOS GROUP INC COM	22717L101	SELL	58.000	\$11.00	\$637.98	
09/06/18	***CRONOS GROUP INC COM	22717L101	PURCHASE	40.000	\$11.89	\$475.60	
09/19/18	***CRONOS GROUP INC COM	22717L101	PURCHASE	15.000	\$13.09	\$196.34	
07/30/18	FACEBOOK INC CL A	30303M102	PURCHASE	1.000	\$175.21	\$175.21	
07/31/18	FACEBOOK INC CL A	30303M102	PURCHASE	4.000	\$170.60	\$682.40	
08/24/18	FACEBOOK INC CL A	30303M102	SELL	5.000	\$174.02	\$870.07	
08/31/18	GOODYEAR TIRE & RUBBER CO	382550101	PURCHASE	10.000	\$22.50	\$225.00	
09/10/18	GOODYEAR TIRE & RUBBER CO	382550101	SELL	10.000	\$23.61	\$236.08	
08/31/18	***IMMUTEP LIMITED AMERICAN DEPOSITARY	45257L108	PURCHASE	300.000	\$2.54	\$761.73	
09/05/18	***IMMUTEP LIMITED AMERICAN DEPOSITARY	45257L108	SELL	300.000	\$3.00	\$899.94	
09/06/18	INDIA GLOBALIZATION CAPITAL INC	45408X308	PURCHASE	29.000	\$1.35	\$39.15	
09/06/18	INDIA GLOBALIZATION CAPITAL INC	45408X308	PURCHASE	244.000	\$1.35	\$329.40	
09/07/18	INDIA GLOBALIZATION CAPITAL INC	45408X308	PURCHASE	14.000	\$1.17	\$16.42	
09/07/18	INDIA GLOBALIZATION CAPITAL INC	45408X308	PURCHASE	57.000	\$1.18	\$67.26	
09/18/18	INDIA GLOBALIZATION CAPITAL INC	45408X308	SELL	344.000	\$1.32	\$454.06	
07/31/18	INTEL CORP	458140100	PURCHASE	2.000	\$48.00	\$96.00	
08/20/18	INTEL CORP	458140100	PURCHASE	2.000	\$47.20	\$94.40	
08/24/18	INTEL CORP	458140100	SELL	4.000	\$47.75	\$190.98	
08/21/18	LENNAR CORP CL A	526057104	PURCHASE	4.000	\$53.27	\$213.08	
08/31/18	MICHAELS COMPANIES INC (THE) COM	59408Q106	PURCHASE	2.000	\$17.00	\$34.00	

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Account No: 5UU67550  
Account Name: PIYUSH MAHAJAN  
Taxpayer Identification Number:\*\*\*-\*\*-6133

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

**ROBINHOOD**  
**(650) 940-2700**

**DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
08/31/18	MICHAELS COMPANIES INC (THE) COM	59408Q106	PURCHASE	4.000	\$16.98	\$67.92	
08/31/18	MICHAELS COMPANIES INC (THE) COM	59408Q106	PURCHASE	12.000	\$17.00	\$204.00	
09/12/18	MICHAELS COMPANIES INC (THE) COM	59408Q106	SELL	1.000	\$17.40	\$17.38	
09/12/18	MICHAELS COMPANIES INC (THE) COM	59408Q106	SELL	17.000	\$17.40	\$295.80	
08/21/18	NETFLIX COM INC	64110L106	PURCHASE	1.000	\$339.30	\$339.30	
08/29/18	NETFLIX COM INC	64110L106	SELL	1.000	\$369.00	\$368.98	
08/20/18	NUTANIX INC CL A	67059N108	PURCHASE	1.000	\$53.00	\$53.00	
08/23/18	NUTANIX INC CL A	67059N108	SELL	1.000	\$56.75	\$56.73	
08/08/18	PENUMBRA INC COM	70975L107	PURCHASE	4.000	\$124.60	\$498.40	
08/29/18	PENUMBRA INC COM	70975L107	SELL	4.000	\$131.50	\$525.98	
08/31/18	***PINDUODUO INC AMERICAN DEPOSITARY SH	722304102	PURCHASE	10.000	\$18.00	\$180.00	
09/04/18	***PINDUODUO INC AMERICAN DEPOSITARY SH	722304102	SELL	2.000	\$20.64	\$41.26	
09/04/18	***PINDUODUO INC AMERICAN DEPOSITARY SH	722304102	SELL	8.000	\$20.50	\$163.98	
08/24/18	SALESFORCE.COM INC	79466L302	PURCHASE	1.000	\$151.78	\$151.78	
09/05/18	SALESFORCE.COM INC	79466L302	PURCHASE	2.000	\$149.00	\$298.00	
08/07/18	SPARK THERAPEUTICS INC COM	84652J103	PURCHASE	4.000	\$55.20	\$220.80	
08/27/18	SPARK THERAPEUTICS INC COM	84652J103	SELL	4.000	\$62.25	\$248.98	
08/02/18	TWITTER INC	90184L102	PURCHASE	1.000	\$31.52	\$31.52	
08/21/18	TWITTER INC	90184L102	SELL	1.000	\$33.94	\$33.92	

**DETAILS OF 2018 OPTIONS ACTIVITY**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
09/13/18	CALL AMD 10/12/18 37 ADVANCED MIC	8BSBYZ4	PURCHASE	1.000	\$1.82	\$182.00	
09/14/18	CALL UPS 11/16/18 140 UNITED PARCE	8CWVBF3	PURCHASE	1.000	\$0.12	\$12.00	
10/19/18	CALL UPS 11/16/18 140 UNITED PARCE	8CWVBF3	SELL	1.000	\$0.03	\$2.98	
10/19/18	CALL CGC 01/18/19 57.50 CANOPY GR	8D89106	PURCHASE	1.000	\$5.50	\$550.00	
10/01/18	CALL SRPT 01/18/19 190 SAREPTA THER	8E39146	PURCHASE	1.000	\$9.80	\$980.00	
09/14/18	CALL PFE 11/02/18 43.50 PFIZER IN	8E48536	PURCHASE	1.000	\$0.73	\$73.00	
09/20/18	CALL PFE 11/02/18 43.50 PFIZER IN	8E48536	SELL	1.000	\$1.14	\$113.98	
09/21/18	CALL PFE 11/02/18 43.50 PFIZER IN	8E48536	PURCHASE	1.000	\$1.03	\$103.00	
10/01/18	CALL PFE 11/02/18 43.50 PFIZER IN	8E48536	SELL	1.000	\$1.23	\$122.98	
09/17/18	CALL RP 10/19/18 70 REALPAGE INC	8GBWMN7	PURCHASE	1.000	\$0.35	\$35.00	
10/01/18	CALL RP 10/19/18 70 REALPAGE INC	8GBWMN7	SELL	1.000	\$0.45	\$44.98	
10/01/18	CALL FB 12/21/18 180 FACEBOOK INC	8GDKPS2	PURCHASE	1.000	\$3.85	\$385.00	

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Account No: 5UU67550  
 Account Name: PIYUSH MAHAJAN  
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**(650) 940-2700**

Account Executive No: DPA

ORIGINAL: 02/01/2019

**DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
10/22/18	CALL CRON 01/18/19 10 CRONOS GROUP	8GFWNY2	PURCHASE	2.000	\$1.30	\$260.00	
10/16/18	PUT CRON 01/18/19 10 CRONOS GROUP	8GFWNY3	PURCHASE	1.000	\$1.90	\$190.00	
10/19/18	PUT CRON 01/18/19 10 CRONOS GROUP	8GFWNY3	SELL	1.000	\$2.45	\$244.98	
09/14/18	CALL GRUB 10/19/18 155 GRUBHUB INC	8GMDGK3	PURCHASE	1.000	\$3.30	\$330.00	
09/26/18	CALL NVDA 10/19/18 275 NVIDIA CORP	8GVTVS6	PURCHASE	1.000	\$3.84	\$384.00	
09/28/18	CALL NVDA 10/19/18 275 NVIDIA CORP	8GVTVS6	SELL	1.000	\$9.37	\$936.97	
09/18/18	CALL NVDA 10/19/18 285 NVIDIA CORP	8GVTVT0	PURCHASE	1.000	\$4.45	\$445.00	
09/28/18	CALL NVDA 10/19/18 285 NVIDIA CORP	8GVTVT0	SELL	1.000	\$4.90	\$489.98	
09/26/18	CALL NVDA 10/19/18 290 NVIDIA CORP	8GVTVT2	PURCHASE	1.000	\$0.87	\$87.00	
09/28/18	CALL NVDA 10/19/18 290 NVIDIA CORP	8GVTVT2	SELL	1.000	\$4.30	\$429.98	
09/28/18	CALL PRGS 03/15/19 40 PROGRESS SOF	8GXBSD1	PURCHASE	1.000	\$1.95	\$195.00	
09/28/18	CALL CRON 01/18/19 13 CRONOS GROUP	8J86550	PURCHASE	1.000	\$2.00	\$200.00	
10/01/18	CALL CRON 01/18/19 13 CRONOS GROUP	8J86550	SELL	1.000	\$2.35	\$234.98	
10/03/18	CALL CRON 01/18/19 14 CRONOS GROUP	8J86554	PURCHASE	2.000	\$1.50	\$300.00	
10/15/18	CALL CRON 01/18/19 14 CRONOS GROUP	8J86554	SELL	1.000	\$1.85	\$184.98	
10/16/18	CALL CRON 01/18/19 14 CRONOS GROUP	8J86554	SELL	1.000	\$1.90	\$189.98	
09/18/18	CALL AAPL 10/12/18 230 APPLE INC	8KBCWB0	PURCHASE	1.000	\$1.66	\$166.00	
09/27/18	CALL AAPL 10/12/18 230 APPLE INC	8KBCWB0	SELL	1.000	\$1.90	\$189.98	
09/17/18	CALL V 10/19/18 155 VISA INC	8KTCBS5	PURCHASE	1.000	\$0.41	\$41.00	
10/01/18	CALL V 10/19/18 155 VISA INC	8KTCBS5	SELL	1.000	\$0.66	\$65.98	
09/14/18	CALL CGC 09/28/18 46.50 CANOPY GR	8KWQKT4	PURCHASE	1.000	\$3.10	\$310.00	
09/17/18	CALL CGC 09/28/18 46.50 CANOPY GR	8KWQKT4	SELL	1.000	\$4.00	\$399.98	
09/12/18	CALL CRM 10/05/18 155 SALESFORCE.C	8KWWDM9	PURCHASE	1.000	\$4.15	\$415.00	
09/13/18	CALL CRM 10/05/18 155 SALESFORCE.C	8KWWDM9	SELL	1.000	\$5.65	\$564.98	
09/17/18	CALL CRM 10/05/18 160 SALESFORCE.C	8KWWFF0	PURCHASE	1.000	\$1.92	\$192.00	
09/24/18	CALL CRM 10/05/18 160 SALESFORCE.C	8KWWFF0	SELL	1.000	\$2.38	\$237.98	
09/13/18	CALL CRM 10/05/18 165 SALESFORCE.C	8KWWFF4	PURCHASE	1.000	\$1.48	\$148.00	
09/14/18	CALL CRM 10/05/18 165 SALESFORCE.C	8KWWFF4	SELL	1.000	\$0.87	\$86.98	
10/01/18	CALL CGC 11/16/18 55 CANOPY GROWT	8KYHKK6	PURCHASE	1.000	\$4.20	\$420.00	
10/15/18	CALL CGC 11/16/18 55 CANOPY GROWT	8KYHKK6	SELL	1.000	\$4.90	\$489.98	
10/19/18	CALL NVDA 01/18/19 280 NVIDIA CORP	8LBCNY6	PURCHASE	1.000	\$5.15	\$515.00	
09/13/18	CALL NVDA 10/05/18 285 NVIDIA CORP	8LLJVV1	PURCHASE	1.000	\$2.79	\$279.00	
09/13/18	CALL NVDA 10/05/18 285 NVIDIA CORP	8LLJVV1	PURCHASE	1.000	\$2.83	\$283.00	
09/13/18	CALL NVDA 10/05/18 285 NVIDIA CORP	8LLJVV1	SELL	1.000	\$2.92	\$291.98	
09/14/18	CALL NVDA 10/05/18 285 NVIDIA CORP	8LLJVV1	SELL	1.000	\$4.55	\$454.98	
10/15/18	PUT CGC 01/18/19 45 CANOPY GROWT	8LLRZQ6	PURCHASE	1.000	\$4.70	\$470.00	

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APEX CLEARING  
 ONE DALLAS CENTER  
 350 N. ST PAUL, SUITE 1300  
 DALLAS, TX 75201

Account No: 5UU67550  
 Account Name: PIYUSH MAHAJAN  
 Taxpayer Identification Number: \*\*\*-\*\*-6133

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

**ROBINHOOD**  
**(650) 940-2700**

**DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information	
10/17/18	PUT CGC	01/18/19	45 CANOPY GROWT	8LLRZQ6	SELL	1.000	\$5.30	\$529.98
11/02/18	CALL AAPL	02/15/19	215 APPLE INC	8LLSTS0	PURCHASE	1.000	\$9.75	\$975.00
09/14/18	CALL ISRG	10/05/18	610 INTUITIVE SU	8LMQTT1	PURCHASE	1.000	\$0.86	\$86.00
09/26/18	CALL ISRG	10/05/18	610 INTUITIVE SU	8LMQTT1	SELL	1.000	\$0.25	\$24.98
10/01/18	CALL VEEV	12/21/18	120 VEEVA SYSTEM	8LNCFK1	PURCHASE	1.000	\$2.60	\$260.00
10/19/18	CALL VEEV	12/21/18	120 VEEVA SYSTEM	8LNCFK1	SELL	1.000	\$0.45	\$44.98
10/01/18	CALL PAYC	02/15/19	180 PAYCOM SOFTW	8NBTMG2	PURCHASE	1.000	\$5.90	\$590.00
09/17/18	CALL NVDA	10/12/18	290 NVIDIA CORP	8NCKVJ6	PURCHASE	1.000	\$3.30	\$330.00
09/28/18	CALL NVDA	10/12/18	290 NVIDIA CORP	8NCKVJ6	SELL	1.000	\$2.94	\$293.98
09/13/18	CALL AMD	10/19/18	42 ADVANCED MIC	8NCQRT1	PURCHASE	1.000	\$1.02	\$102.00
09/11/18	CALL PAYC	09/21/18	175 PAYCOM SOFTW	8NCSTMX4	PURCHASE	1.000	\$0.10	\$10.00
09/14/18	CALL PAYC	09/21/18	175 PAYCOM SOFTW	8NCSTMX4	SELL	1.000	\$0.15	\$14.98
09/25/18	CALL ADBE	10/26/18	275 ADOBE SYSTEM	8NCTTJ4	PURCHASE	1.000	\$4.25	\$425.00
09/25/18	CALL ADBE	10/26/18	275 ADOBE SYSTEM	8NCTTJ4	SELL	1.000	\$4.20	\$419.98
09/14/18	CALL ADBE	10/26/18	287.50 ADOBE SYS	8NCTTK4	PURCHASE	1.000	\$4.25	\$425.00
10/16/18	CALL ADBE	10/26/18	287.50 ADOBE INC	8NCTTK4	SELL	1.000	\$0.15	\$14.98
09/14/18	CALL MA	10/26/18	230 MASTERCARD I	8NCYXJ7	PURCHASE	1.000	\$1.38	\$138.00
09/17/18	CALL MA	10/26/18	230 MASTERCARD I	8NCYXJ7	PURCHASE	1.000	\$1.19	\$119.00
09/17/18	CALL MA	10/26/18	230 MASTERCARD I	8NCYXJ7	SELL	1.000	\$1.27	\$126.98
09/18/18	CALL MA	10/26/18	230 MASTERCARD I	8NCYXJ7	SELL	1.000	\$1.54	\$153.98
09/11/18	CALL AMD	09/21/18	39 ADVANCED MIC	8NDDNZ6	PURCHASE	1.000	\$0.10	\$10.00
09/12/18	CALL AMD	09/21/18	39 ADVANCED MIC	8NDDNZ6	SELL	1.000	\$0.20	\$19.98
09/11/18	CALL AMD	10/12/18	40 ADVANCED MIC	8NDDPB2	PURCHASE	1.000	\$0.33	\$33.00
09/13/18	CALL AMD	10/12/18	40 ADVANCED MIC	8NDDPB2	SELL	1.000	\$1.21	\$120.98
10/18/18	CALL CGC	11/30/18	51 CANOPY GROWT	8NFXT7	PURCHASE	1.000	\$5.00	\$500.00
10/19/18	CALL CGC	11/30/18	51 CANOPY GROWT	8NFXT7	SELL	1.000	\$5.30	\$529.98
10/16/18	CALL NVDA	11/30/18	285 NVIDIA CORP	8NGCZM5	PURCHASE	1.000	\$2.22	\$222.00
09/11/18	CALL PEN	10/19/18	165 PENUMBRA INC	8X44888	PURCHASE	1.000	\$1.20	\$120.00
09/12/18	CALL PEN	10/19/18	165 PENUMBRA INC	8X44888	SELL	1.000	\$2.20	\$219.98
09/12/18	CALL PEN	10/19/18	170 PENUMBRA INC	8X44890	PURCHASE	1.000	\$1.75	\$175.00
09/28/18	CALL PEN	10/19/18	170 PENUMBRA INC	8X44890	SELL	1.000	\$0.30	\$29.98

**END OF 2018 DETAILS**

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