

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

Recipient's Name and Address:

VENKATA S KASIREDDY
SUDHA BYREDDY JT TEN
3511 HUNSTANTON CT
KATY TX 77450-5285

Your Investment Advisor:

MCGOWAN GROUP ASSET MANAGEMENT
SUITE # 657
200 CRESCENT COURT
DALLAS TX 75201-2110
(214) 720-4400
ID: N2F

Account Holder Information:

Account Number: T5A-005634

General Information:

The following information may be included in this tax statement based on the investments and activity in your account.

Reportable Information: IRS Forms 1099-B, 1099-DIV, 1099-INT, 1099-MISC, 1099-OID

Cost Basis Service: Realized gains and losses may be displayed for your investments.

Non-Reportable Information: Non-Reportable Information may be provided based on the activity within your account. This additional information is provided to assist you with your tax preparation but it is not reported to the IRS.

Mail Dates: You and members of your household may receive tax statements at different times based on the activity and/or holdings within your account. If final tax information is pending from an issuer or pending processing when tax statements are prepared, impacted tax statements will be held until the information is complete. Tax statement mailings are scheduled to occur in four phases this year: January 31, February 15, February 28 and March 15.

Methods of Delivery: We offer two ways to receive your tax statement:

e-Delivery: The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, please speak with your investment professional for more information.

Physical Mail: There are two dates on this document: The "As of " date is when the form was generated and the date through which all activity in this account was processed. The "Mailed by" date is the date by which this document was mailed to you.

This Tax Information May Be Revised: You may receive a corrected tax statement. Some issuers provide new information about their securities after we prepare and mail your tax statement. If your tax information needs to be updated, we may be required by the IRS to send you a corrected 1099. Please plan your tax preparation accordingly.

For more information, please see the [Tax Guide at mytaxhandbook.com](http://mytaxhandbook.com).

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Client Service Information

Contact Information

Telephone Number: (214) 720-4400

Fax Number: (214) 720-4420



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Table of Contents

This tax statement may include the following IRS forms: 1099-B, 1099-INT, 1099-DIV, 1099-MISC and 1099-OID. Only the forms that pertain to the activity in this account are included in this tax statement. Please retain this document for tax preparation purposes.

1099 Form or Tax Statement Section	Starting Page Number
Summary of Form 1099-B.....	1
1099-INT Form: Interest Income.....	1
1099-DIV Form: Dividends and Distributions.....	1
Summary of Form 1099-OID.....	1
Summary of Transactions We Do Not Report to the IRS.....	2
1099-B Forms: Proceeds From Broker and Barter Exchange Transactions.....	2
Short-Term Covered Transactions.....	2
Long-Term Covered Transactions.....	4
Dividends and Distributions (Details of Form 1099-DIV).....	9
Tax-Exempt Dividends (Details of Form 1099-DIV).....	17
Capital Gain Distributions (Details of Form 1099-DIV).....	18
Details for Transactions We Do Not Report to the IRS.....	20
Advisory Fees and Other Expenses.....	20



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Payer's Information: PERSHING LLC
ONE PERSHING PLAZA
JERSEY CITY, NJ 07399

Payer's Federal Identification Number: 13-2741729

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Summary of Form 1099-B

Details are reported to the IRS. Refer to the 1099-B section of this statement for those details.

	Amount
Gross Proceeds (Covered and Noncovered Transactions)	47,857.85
Cost or Other Basis (Covered Transactions Only)	48,735.77
Federal Tax Withheld - Proceeds	0.00
State Tax Withheld - Proceeds	0.00
Regulated Futures and Foreign Currency Forward Contracts:	
Profit or (Loss) Realized in 2017	0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2016	0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2017	0.00
Aggregate Profit or (Loss)	0.00
Fed. Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	0.00
State Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	0.00

2017 1099-DIV Dividends and Distributions OMB No. 1545-0110

Box		Amount
1a	Total Ordinary Dividends.....	10,811.76
1b	Qualified Dividends.....	1,375.60
2a	Total Capital Gain Distributions.....	586.59
2b	Unrecaptured Section 1250 Gain	1.62
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain.....	0.00
3	Nondividend Distributions	1,077.32
4	Federal Income Tax Withheld	0.00
5	Investment Expenses.....	0.00
6	Foreign Tax Paid	40.23
8	Cash Liquidation Distributions.....	0.00
9	Noncash Liquidation Distributions	0.00
10	Exempt Interest Dividends.....	18.75
11	Specified Private Activity Bond Interest Dividends.....	11.09
12/13/14	State /State ID# /State Tax Withheld	
	FATCA Filing Requirement	

2017 1099-INT Interest Income OMB No. 1545-0112

Box		Amount
1	Interest Income	0.00
3	Interest on U.S. Savings Bonds & Treasury Obligations	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
8	Tax-Exempt Interest	0.00
9	Specified Private Activity Bond Interest	0.00
10	Market Discount (Covered Transactions Only)	0.00
11	Bond Premium (Covered Transactions Only)	0.00
12	Bond Premium on Treasury Obligations (Covered Transactions Only)	0.00
13	Bond Premium on Tax-Exempt Bond (Covered Transactions Only)	0.00
14	Tax-Exempt and Tax Credit Bond CUSIP Number	Various
15 /16 /17	State /State ID# /State Tax Withheld	0.00
	FATCA Filing Requirement	

Summary of Form 1099-OID

Details are reported to the IRS. Refer to the 1099-OID section of this statement for those details.

	Amount
Original Issue Discount (Non-U.S. Treasury Obligations)	0.00
Other Periodic Interest	0.00
Market Discount (Covered Transactions Only)	0.00
Acquisition Premium (Covered Transactions Only)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Investment Expenses	0.00
Bond Premium (Covered Transactions Only)	0.00
Tax-Exempt OID (Covered Transactions Only)	0.00
Tax-Exempt OID Specified Private Activity Bonds (Covered Transactions Only)	0.00
Federal Income Tax Withheld	0.00
State Tax Withheld	



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Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Advisory Fees and Other Expenses	(1,923.74)

2017 Form 1099-B OMB No. 1545-0715
Proceeds From Broker and Barter Exchange Transactions
(For individuals, report details on Form 8949)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D=Market Discount (1f) O=Option Premium W=Wash Sale Loss (1g)	Realized Gain or (Loss)
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3)

Description (Box 1a): EATON VANCE FLOATING -RATE ADVANTAGE FD C LASS I		CUSIP: 277923637						
SELL	HIGH COST	75.631	06/28/2017	12/18/2017	822.87	821.35		1.52
SELL	HIGH COST	3.948	06/30/2017	12/18/2017	42.95	42.91		0.04
SELL	HIGH COST	5.393	07/31/2017	12/18/2017	58.68	58.78	0.09 ^W	(0.01)
SELL	HIGH COST	5.286	08/31/2017	12/18/2017	57.51	57.41		0.10
SELL	HIGH COST	5.149	09/29/2017	12/18/2017	56.02	56.02		0.00
SELL	HIGH COST	5.622	10/31/2017	12/18/2017	61.17	61.34	0.17 ^W	0.00
SELL	HIGH COST	5.171	11/30/2017	12/18/2017	56.26	56.47		(0.21)
Wash sale: 48 day(s) added to holding period								
SALE DATE TOTAL		106.200	VARIOUS	12/18/2017	1,155.46	1,154.28	0.26 ^W	1.44



Rated Excellent
BNY Mellon's Pershing Tax Statement
DALBAR RATED COMMUNICATIONS
EXCELLENCE

Clearing through Pershing LLC, a wholly owned
subsidiary of The Bank of New York Mellon
Corporation (BNY Mellon)
Pershing LLC, member FINRA, NYSE, SIPC

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2017 Form 1099-B	Proceeds From Broker and Barter Exchange Transactions (For individuals, report details on Form 8949)	OMB No. 1545-0715 (continued)
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Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D=Market Discount (1f) O=Option Premium W=Wash Sale Loss (1g)	Realized Gain or (Loss)
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 3) (continued)

Description (Box 1a): THE HARTFORD FLOATIN G RATE FD CLASS I					CUSIP: 416649804			
SELL	VERSUS PURCHASE	104.643	12/14/2016	11/15/2017	910.40	906.39		4.01
SELL	VERSUS PURCHASE	413.101	06/28/2017	11/15/2017	3,593.98	3,592.29		1.69
SELL	VERSUS PURCHASE	4.222	06/30/2017	11/15/2017	36.73	36.73		0.00
SELL	VERSUS PURCHASE	5.824	07/31/2017	11/15/2017	50.67	50.87		(0.20)
SELL	VERSUS PURCHASE	5.759	08/31/2017	11/15/2017	50.10	50.10		0.00
SELL	VERSUS PURCHASE	5.604	09/29/2017	11/15/2017	48.75	48.87		(0.12)
SELL	VERSUS PURCHASE	5.719	10/31/2017	11/15/2017	49.76	50.04	0.24 ^W	(0.04)
SALE DATE TOTAL		544.872	VARIOUS	11/15/2017	4,740.39	4,735.29	0.24^W	5.34
SELL	VERSUS PURCHASE	463.357	12/14/2016	12/06/2017	4,045.10	4,013.49		31.61
SELL	VERSUS PURCHASE	4.876	11/30/2017	12/06/2017	42.57	42.76		(0.19)
Wash sale: 15 day(s) added to holding period								
SALE DATE TOTAL		468.233	VARIOUS	12/06/2017	4,087.67	4,056.25		31.42
SECURITY TOTAL					8,828.06	8,791.54	0.24^W	36.76

Description (Box 1a): OMEGA HEALTHCARE INV S INC COM					CUSIP: 681936100			
SELL	VERSUS PURCHASE	18	08/30/2017	11/16/2017	488.88	562.96		(74.08)
SELL	HIGH COST	44	08/30/2017	11/16/2017	1,183.58	1,376.12		(192.54)
SELL	VERSUS PURCHASE	110	09/06/2017	11/16/2017	2,987.57	3,568.84		(581.27)
SALE DATE TOTAL		172	VARIOUS	11/16/2017	4,660.03	5,507.92		(847.89)



Rated Excellent
BNY Mellon's Pershing Tax Statement
DALBAR RATED COMMUNICATIONS
EXCELLENCE

Clearing through Pershing LLC, a wholly owned
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Pershing LLC, member FINRA, NYSE, SIPC

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2017 Form 1099-B	Proceeds From Broker and Barter Exchange Transactions (For individuals, report details on Form 8949)	OMB No. 1545-0715 (continued)
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Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D=Market Discount (1f) O=Option Premium W=Wash Sale Loss (1g)	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 3) (continued)								
Description (Box 1a): OPPENHEIMER SENIOR F LOATING RATE FUND CL ASS Y					CUSIP: 68381K408			
SELL	HIGH COST	605	12/14/2016	12/06/2017	4,888.40	4,906.55	0.06 ^W	(18.09)
SELL	HIGH COST	455.094	06/28/2017	12/06/2017	3,677.16	3,677.16		0.00
SELL	HIGH COST	4.797	06/30/2017	12/06/2017	38.76	38.81		(0.05)
SELL	HIGH COST	6.819	07/31/2017	12/06/2017	55.10	55.44	0.34 ^W	0.00
SELL	HIGH COST	8.288	08/31/2017	12/06/2017	66.97	67.05		(0.08)
SELL	HIGH COST	6.445	09/29/2017	12/06/2017	52.08	52.01		0.07
SELL	HIGH COST	7.277	10/31/2017	12/06/2017	58.80	58.87		(0.07)
SELL	HIGH COST	6.819	11/30/2017	12/06/2017	55.10	55.37		(0.27)
	Wash sale: 128 day(s) added to holding period							
SELL	HIGH COST	.212	11/30/2017	12/06/2017	1.71	1.72		(0.01)
	Wash sale: 357 day(s) added to holding period							
SALE DATE TOTAL		1,100.751	VARIOUS	12/06/2017	8,894.08	8,912.98	0.40 ^W	(18.50)
Short-Term Covered Total					23,537.63	24,366.72	0.90 ^W	(828.19)

Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.

Covered (Box 3)

Description (Box 1a): GOLAR LNG PARTNERS L P COM UNIT REPSTG LT D PARTNER INT ISIN#M					CUSIP: Y2745C102			
SELL	VERSUS PURCHASE	36	04/20/2016	05/09/2017	744.67	552.71		191.96



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2017 Form 1099-B

**Proceeds From Broker and Barter Exchange Transactions
(For individuals, report details on Form 8949)**

OMB No. 1545-0715 (continued)

Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D=Market Discount (1f) O=Option Premium W=Wash Sale Loss (1g)	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3) (continued)								
Description (Box 1a): CALAMOS GLOBAL DYNAM IC INCOME FD COM SHS BEN INT					CUSIP: 12811L107			
SELL	HIGH COST	133	02/04/2013	05/01/2017	1,093.02	1,087.99		5.03
SELL	HIGH COST	150	02/04/2013	05/03/2017	1,225.47	1,227.05		(1.58)
SELL	HIGH COST	155	02/04/2013	05/04/2017	1,255.14	1,267.96		(12.82)
SECURITY TOTAL					3,573.63	3,583.00		(9.37)
Description (Box 1a): THE HARTFORD FLOATIN G RATE FD CLASS I					CUSIP: 416649804			
SELL	VERSUS PURCHASE	750.456	09/28/2016	12/06/2017	6,551.48	6,380.16		171.32
Description (Box 1a): NUVEEN PFD & INCOME OPPORTUNITIES FD COM					CUSIP: 67073B106			
SELL	VERSUS PURCHASE	177	09/27/2013	03/02/2017	1,734.13	1,543.50		190.63
SELL	VERSUS PURCHASE	12	10/10/2014	03/02/2017	117.57	109.85		7.72
SELL	VERSUS PURCHASE	14	10/14/2014	03/02/2017	137.16	127.21		9.95
SELL	VERSUS PURCHASE	40	10/15/2014	03/02/2017	391.90	362.54		29.36
SELL	VERSUS PURCHASE	27	12/08/2014	03/02/2017	264.53	252.23		12.30
SELL	VERSUS PURCHASE	200	08/24/2015	03/02/2017	1,959.48	1,788.76		170.72
SELL	VERSUS PURCHASE	163	10/21/2015	03/02/2017	1,596.97	1,526.26		70.71
SALE DATE TOTAL		633	VARIOUS	03/02/2017	6,201.74	5,710.35		491.39
Description (Box 1a): OPPENHEIMER SENIOR F LOATING RATE FUND CL ASS Y					CUSIP: 68381K408			
SELL	HIGH COST	634.219	09/28/2016	12/06/2017	5,124.48	5,035.70		88.78



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2017 Form 1099-B	Proceeds From Broker and Barter Exchange Transactions (For individuals, report details on Form 8949)	OMB No. 1545-0715 (continued)
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Disposition Transaction	Disposition Method	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D=Market Discount (1f) O=Option Premium W=Wash Sale Loss (1g)	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 3) (continued)								
Description (Box 1a): TRIANGLE CAP CORP CO M						CUSIP: 895848109		
SELL	HIGH COST	10	03/13/2015	08/03/2017	158.65	230.51		(71.86)
SELL	HIGH COST	21	05/07/2015	08/03/2017	333.18	483.21		(150.03)
SALE DATE TOTAL		31	VARIOUS	08/03/2017	491.83	713.72		(221.89)
SELL	HIGH COST	19	12/03/2014	08/04/2017	278.91	406.13		(127.22)
SELL	HIGH COST	18	12/04/2014	08/04/2017	264.23	386.20		(121.97)
SELL	HIGH COST	19	12/05/2014	08/04/2017	278.91	408.30		(129.39)
SELL	HIGH COST	6	12/09/2014	08/04/2017	88.09	120.10		(32.01)
SELL	HIGH COST	24	05/07/2015	08/04/2017	352.31	552.24		(199.93)
SALE DATE TOTAL		86	VARIOUS	08/04/2017	1,262.45	1,872.97		(610.52)
SELL	HIGH COST	26	12/09/2014	08/07/2017	369.94	520.44		(150.50)
SECURITY TOTAL					2,124.22	3,107.13		(982.91)
Long-Term Covered Total					24,320.22	24,369.05		(48.83)
Covered Total					47,857.85	48,735.77	0.90^W	(877.02)
Total					47,857.85	48,735.77	0.90^W	(877.02)



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IRS Form 1099-B—Proceeds from Broker and Barter Exchange Transactions:

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities. Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (short-term or long-term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available. Such detail for noncovered transactions is not reported to the IRS.

Box 2—Type of Gain or Loss. The section headings within the 1099-B indicate the type of gain or loss for the transactions, short-term or long-term. An asterisk will denote when your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the non-contingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550 or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security.

Box 3—Covered or Box 5—Noncovered Security. The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program. For tax return reporting purposes, you may need to make adjustments to your cost basis information on IRS Form 8949 and Schedule D of IRS Form 1040. You may also need to take into account tax reporting rules that a reporting financial organization is not required to use when preparing your Form 1099-B, such as when reporting wash sales. As a result, you must take into account all applicable tax return reporting rules and be able to verify, from your own records, all cost basis information that is reported on your tax return. The IRS requires you to maintain all such records in case you are asked to produce them. For gifted shares where the original cost is greater than the fair market value, if you elect to include these shares in the average calculation, you must instruct your investment professional in writing to move these shares from fair market value to the average calculation.

Box 1a—Description and Quantity. Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

Disposition Transaction. This column will denote the type of transaction; for example, SELL.

Disposition Method. The method used to select which lot will be disposed; for example, first-in first-out (FIFO).

Box 1b—Date Acquired. This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word VARIOUS displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

Box 1c—Date Sold or Disposed. Shows the trade date of the sale or exchange. For short sales, the date shown is the date you closed the short sale.

Box 1d—Proceeds. The proceeds amount from transactions involving stocks, bonds and other debt obligations are reported in Box 1d. These amounts do not reflect net profits and are net of transaction costs. The proceeds amount may also be increased by an option premium received or decreased by an option premium paid. Report the proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, Schedule D (Capital Gains and Losses). See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row. This box does not include proceeds from regulated futures or foreign currency forward contracts.

Box 1e—Cost or Other Basis. This box shows the original cost, or adjusted cost basis, due to a corporate action, gifted or inherited cost basis, etc. The IRS provides a detailed description of cost or other basis reporting in the Instructions for Form 1099-B, available at irs.gov.

Adjustments—The adjustment column may display one or more of codes D, O or W:

Box 1f—D = Accrued Market Discount. An adjustment code (D) will be displayed next to an amount for accrued market discount. A market discount condition exists when the purchase cost of a bond is below the adjusted issue price of an original issue discount bond (OID bond) or below the redemption value of a non-OID bond. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550.

O = Option Premium (Not Reportable to the IRS). An adjustment code (O) will be displayed next to the amount for an option premium within the Adjustment column. When stock is sold based upon the assignment of either a put or a call option, the proceeds of that sale are adjusted by the price of the option or the option premium.

Recipient's Name and Address:VENKATA S KASIREDDY
SUDHA BYREDDY JT TEN**Account Number: T5A-005634**Recipient's Identification
Number: ***-**-2219**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018****IRS Form 1099-B—Proceeds from Broker and Barter Exchange Transactions (continued):**

Box 1g—W = Wash Sale Loss Disallowed. An adjustment code (W) will be displayed next to an amount for a disallowed wash sale loss within the Adjustments column. This loss is being reported as disallowed because the sale of the covered security has been adjusted under the broker wash sale rule. This occurs when you re-purchase the identical security, as determined by CUSIP number, in the same account within the 30-day period preceding or following the date of the original loss. The wash sale loss is displayed within Box 1g as a positive amount as required by the IRS. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949 and Pub. 550.

Realized Gain or (Loss). The realized gain or loss for the transaction may be displayed. It is not reported to the IRS.

Sale Date Totals. If you have multiple lots with the same date sold or disposed of, you may see a line item with SALE DATE TOTAL noted in the Disposition Transaction column. The totals provided in this line item are an aggregate of the lots listed above the total line when the security and sale or disposed dates are the same. For these totals, the word VARIOUS will be displayed in the Date of Acquisition column, since the total includes multiple lots with different acquisition dates. These total amounts are included to assist you with the completion of IRS Form(s) 8949.

Cost Basis on Bonds. Pershing is providing you with two different cost figures (when available) on debt instrument investments, the original cost of the bonds (which may have been provided by you or a third party) and a projection of where the adjusted current cost could be if the bonds had been amortized or accreted over the time you held the bonds. The adjusted cost for noncovered bonds was calculated without consideration of your elections and may not be the same method you chose when deciding to amortize or accrete. You or your tax professional should verify the amounts that have been previously calculated to adjust the cost basis of the bond and reported throughout the life of the bond starting with the original purchase price.

Income Tax Withholding:

If federal or state income taxes were withheld from your proceeds amounts, they will be reported and displayed in this section.

Disposition Transaction. This column is provided for reference purposes only and will denote the type of transaction; for example, SELL.

Quantity. This quantity is provided for reference purposes only and is the total number of shares that may have been split and reported in earlier 1099-B sections.

Proceeds. This amount is provided for reference purposes only and is the total proceeds amount for a sale or exchange. If a sale or exchange included multiple lots, the proceeds amounts were reported at the lot level in the appropriate 1099-B section.

Date Sold or Disposed. This box shows the trade date of the sale or exchange.

Box 4—Federal Income Tax Withheld. Federal income tax withheld is 28% of gross proceeds. See the Additional Information section of these instructions.

Box 14—State. Displays the two letter postal abbreviation of the state(s) for which state income taxes are being withheld.

Box 15—State ID Number. Displays the payer's state identification number(s).

Box 16—State Tax Withheld. Displays the amount of state income tax(es) withheld.

FATCA Filing Requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions to Form 8938. For 2017, this box will not be displayed.

The IRS requires us to remind taxpayers that you are ultimately responsible for the accuracy of your tax return.

Recipient's Name and Address:VENKATA S KASIREDDY
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Number: ***-**-2219**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018****DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)**

Supplemental information regarding the percentages of tax-exempt income on municipal bond funds by state and the percentage of government agency, direct federal and foreign source income for funds will be available by March 1, 2018, at mytaxhandbook.com.

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
GOLAR LNG PARTNERS	02/14/2017	36.71	36.71		76.48						
LP COM UNIT REPSTG	05/12/2017	36.71	36.71		76.48						
LTD PARTNER INT	08/14/2017	29.96	29.96		62.44						
ISIN#MHY2745C1021 CUSIP: Y2745C102	11/14/2017	29.96	29.96		62.44						
		-----	-----		-----						
		133.34	133.34		277.84						
ABERDEEN	01/30/2017	52.14			39.74			2.70			
ASIA-PACIFIC INCOME	02/28/2017	69.29			52.80			3.59			
FD INC COM	03/27/2017	69.29			52.80			3.59			
FOREIGN % 26.29380 CUSIP: 003009107 FOREIGN CORP.	04/28/2017	69.29			52.80			3.59			
OTHER	05/26/2017	69.29			52.80			3.59			
	06/27/2017	69.29			52.80			3.59			
	07/31/2017	69.29			52.80			3.59			
	08/28/2017	69.29			52.80			3.59			
	09/29/2017	69.29			52.80			3.59			
	10/27/2017	69.29			52.80			3.59			
	11/29/2017	123.73						5.22			
	01/08/2018	118.51									
		-----			-----			-----			
		917.99			514.94			40.23			
ALLIANCEBERNSTEIN	02/17/2017	60.81	0.18								
GLOBAL HIGH INCOME	03/17/2017	60.81	0.18								
FD INC COM	04/21/2017	60.81	0.18								
CUSIP: 01879R106	05/19/2017	60.81	0.18								
	06/16/2017	60.81	0.18								
	07/21/2017	60.81	0.18								



Recipient's Name and Address:

VENKATA S KASIREDDY
SUDHA BYREDDY JT TEN

Account Number: T5A-005634

Recipient's Identification
Number: ***-**-2219

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
	08/18/2017	60.81	0.18								
	09/15/2017	60.81	0.18								
	10/20/2017	60.81	0.18								
	11/17/2017	60.81	0.18								
	12/15/2017	60.81	0.18								
		-----	-----								
		668.91	1.98								
ARES CAP CORP COM	03/31/2017	108.11	2.31	10.84							
CUSIP: 04010L103	06/30/2017	108.11	2.31	10.84							
	09/29/2017	117.88	2.31	1.06							
	12/29/2017	130.34	2.53								
		-----	-----	-----							
		464.44	9.46	22.74							
BLACKROCK CREDIT ALLOCATION INCOME TR COM	02/28/2017	11.61	2.09		1.08						
CUSIP: 092508100	03/31/2017	11.61	2.09		1.08						
	04/28/2017	11.61	2.09		1.08						
	05/31/2017	11.61	2.09		1.08						
	06/30/2017	11.61	2.09		1.08						
	07/31/2017	11.61	2.09		1.08						
	08/31/2017	11.61	2.09		1.08						
	09/29/2017	11.61	2.09		1.08						
	10/31/2017	11.04	1.99		1.02						
	11/30/2017	12.06	1.58								
	12/19/2017	12.06	1.58								
	01/09/2018	12.06	1.58								
		-----	-----		-----						
		140.10	23.45		9.66						
CALAMOS GLOBAL DYNAMIC INCOME FD COM SHS BEN INT	02/15/2017	30.66	5.97								
	03/15/2017	30.66	5.97								
	04/17/2017	30.66	5.97								



Recipient's Name and Address:

VENKATA S KASIREDDY
SUDHA BYREDDY JT TEN

Account Number: T5A-005634

Recipient's Identification
Number: ***-**-2219

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
CUSIP: 12811L107		-----	-----								
		91.98	17.91								
COHEN & STEERS REIT	01/31/2017			56.30							
& PFD INCOME FD INC	02/28/2017			56.30							
COM	03/31/2017			56.30							
CUSIP: 19247X100	04/28/2017			56.30							
	05/31/2017	23.86	15.16	32.44							
	06/30/2017	56.30	35.77								
	07/31/2017	56.30	35.77								
	08/31/2017	56.30	35.77								
	09/29/2017	56.30	35.77								
	10/31/2017	56.30	35.77								
	11/30/2017	56.30	35.77								
	12/29/2017	56.30	35.77								
		-----	-----	-----							
		417.96	265.55	257.64							
COHEN & STEERS	01/31/2017	43.42	28.86								
INFRASTRUCTURE FD	02/28/2017	105.99	70.45								
INC COM	03/31/2017	105.99	70.45								
CUSIP: 19248A109	04/28/2017	105.99	70.45								
	05/31/2017	105.99	70.45								
	06/30/2017	105.99	70.45								
	07/31/2017	105.99	70.45								
	08/31/2017	105.99	70.45								
	09/29/2017	105.99	70.45								
	10/31/2017	105.99	70.45								
	11/30/2017	37.19	24.72	68.80							
	12/29/2017			105.99							
	01/10/2018	126.56									



Recipient's Name and Address:

VENKATA S KASIREDDY
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Account Number: T5A-005634

Recipient's Identification
Number: ***-**-2219

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
		----- 1,161.08	----- 687.63	----- 174.79							
DOUBLELINE INCOME	01/31/2017	79.95									
SOLUTIONS FD COM	02/28/2017	79.95									
CUSIP: 258622109	03/31/2017	79.95									
	04/28/2017	79.95									
	05/31/2017	79.95									
	06/30/2017	79.95									
	07/31/2017	79.95									
	08/31/2017	79.95									
	09/29/2017	79.95									
	10/31/2017	79.95									
	11/30/2017	79.95									
	12/29/2017	101.27									
		----- 980.72									
EATON VANCE	02/01/2017	43.62									
FLOATING-RATE	03/01/2017	37.43									
ADVANTAGE FD CLASS I	04/03/2017	42.45									
CUSIP: 277923637	05/01/2017	42.13									
	06/01/2017	43.46									
	07/03/2017	42.91									
	08/01/2017	58.78									
	09/01/2017	57.41									
	10/02/2017	56.02									
	11/01/2017	61.34									
	12/01/2017	56.31									
	01/02/2018	57.45									
		----- 599.31									



Recipient's Name and Address:

VENKATA S KASIREDDY
SUDHA BYREDDY JT TEN

Account Number: T5A-005634

Recipient's Identification
Number: ***-**-2219

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
THE HARTFORD	02/01/2017	36.79	0.02		1.14						
FLOATING RATE FD	03/01/2017	32.52	0.01		1.01						
CLASS I	04/03/2017	35.24	0.02		1.10						
CUSIP: 416649804	05/01/2017	35.29	0.02		1.10						
	06/01/2017	36.41	0.02		1.13						
	07/03/2017	35.63	0.02		1.11						
	08/01/2017	49.36	0.02		1.54						
	09/01/2017	48.59	0.02		1.51						
	10/02/2017	47.40	0.02		1.47						
	11/01/2017	48.53	0.02		1.51						
	12/01/2017	42.52	0.02								
	12/08/2017	6.77									
		-----	-----		-----						
		455.05	0.21		12.62						
MAIN STR CAP CORP	10/16/2017	19.00									
COM	11/15/2017	19.00									
CUSIP: 56035L104	12/15/2017	21.47									
	12/27/2017	36.58									
	01/12/2018	25.27									

		121.32									
NUVEEN PFD & INCOME	02/01/2017	38.72	23.85		1.80						
OPPORTUNITIES FD COM	03/01/2017	38.72	23.85		1.80						
CUSIP: 67073B106		-----	-----		-----						
		77.44	47.70		3.60						
NUVEEN GLOBAL HIGH	02/01/2017	15.08	1.34		1.12						
INCOME FD SHS	03/01/2017	110.37	9.82		8.19						
CUSIP: 67075G103	04/03/2017	110.37	9.82		8.19						
	05/01/2017	110.37	9.82		8.19						



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VENKATA S KASIREDDY
SUDHA BYREDDY JT TEN

Account Number: T5A-005634

Recipient's Identification
Number: ***-**-2219

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
	06/01/2017	110.37	9.82		8.19						
	07/03/2017	110.37	9.82		8.19						
	08/01/2017	110.37	9.82		8.19						
	09/01/2017	110.37	9.82		8.19						
	10/02/2017	110.37	9.82		8.19						
	11/01/2017	110.37	9.82		8.19						
	12/01/2017	110.37	9.82		8.19						
	12/29/2017	110.37	9.82		8.19						
		-----	-----		-----						
		1,229.15	109.36		91.21						
OMEGA HEALTHCARE INVS INC COM CUSIP: 681936100	11/15/2017	69.14		1.62	41.03						
OPPENHEIMER SENIOR FLOATING RATE FUND CLASS Y CUSIP: 68381K408	02/01/2017	42.62	0.45								
	03/01/2017	36.14	0.38								
	04/03/2017	42.71	0.45								
	05/01/2017	37.42	0.39								
	06/01/2017	37.36	0.39								
	07/03/2017	38.81	0.41								
	08/01/2017	55.44	0.58								
	09/01/2017	67.05	0.70								
	10/02/2017	52.01	0.54								
	11/01/2017	58.87	0.62								
	12/01/2017	56.74	0.59								
	01/02/2018	14.55	0.15								
		-----	-----								
		539.72	5.65								
PIMCO DYNAMIC CREDIT AND MORTGAGE INCOME FD COM SHS	02/01/2017	83.34									
	03/01/2017	83.34									
	04/03/2017	83.34									



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Account Number: T5A-005634

Recipient's Identification
Number: ***-**-2219

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
CUSIP: 72202D106	05/01/2017	83.34									
	06/01/2017	83.34									
	07/03/2017	83.34									
	08/01/2017	83.34									
	09/01/2017	83.34									
	10/02/2017	83.34									
	11/01/2017	83.34									
	12/01/2017	83.34									
	01/02/2018	83.34									

		1,000.08									
TORTOISE PWR & ENERGY	02/28/2017	32.75	6.07	12.98	3.52						
INFRASTRUCTURE FUND	03/31/2017	32.75	6.07	12.98	3.52						
INC COM	04/28/2017	32.75	6.07	12.98	3.52						
COM	05/31/2017	32.75	6.07	12.98	3.52						
CUSIP: 89147X104	06/30/2017	32.75	6.07	12.98	3.52						
	07/31/2017	32.75	6.07	12.98	3.52						
	08/31/2017	32.75	6.07	12.98	3.52						
	09/29/2017	32.75	6.07	12.98	3.52						
	10/31/2017	32.75	6.07	12.98	3.52						
	11/30/2017	32.75	6.07	12.98	3.52						
	12/29/2017	49.25	9.12								
			-----	-----	-----	-----					
		376.75	69.82	129.80	35.20						
TRIANGLE CAP CORP	03/22/2017	64.35	1.77								
COM	06/21/2017	64.35	1.77								
CUSIP: 895848109		-----	-----								
		128.70	3.54								



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**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
WESTERN ASSET	01/27/2017	107.49			7.91						
EMERGING MKTS DEBT	02/24/2017	107.49			7.91						
FD INC COM	04/03/2017	102.36			7.54						
CUSIP: 95766A101	05/01/2017	102.36			7.54						
	06/01/2017	102.36			7.54						
	07/03/2017	102.36			7.54						
	08/01/2017	102.36			7.54						
	09/01/2017	102.36			7.54						
	10/02/2017	102.36			7.54						
	11/01/2017	102.36			7.54						
	12/01/2017	102.36			7.54						
	12/29/2017	102.36			7.54						
	-----				-----						
		1,238.58			91.22						
Dividends - U.S. Corporations		10,570.48	1,375.60								
Dividends - Foreign Corporations											
Other		241.28						40.23			
Total :		10,811.76	1,375.60	586.59	1,077.32	0.00	0.00	40.23			0.00

* Refer to the Capital Gain Distributions Section for details

IRS Form 1099-DIV—Dividends and Distributions:

Dividends and other distributions from corporations, mutual funds, ETFs (treated as mutual funds for tax purposes), UITs and REITs are reported in this section of your Tax Information Statement. Income derived from money market funds will also be reported in this section. You will receive a separate IRS Form 1099-DIV directly from a money market fund if we did not handle the processing of your funds for the entire year.

Box 1a—Total Ordinary Dividends. Ordinary dividends, which include any net short-term capital gains from a mutual fund, are fully taxable. Ordinary dividends paid by corporations, mutual funds and certain UITs are included in this section of your Tax Information Statement. Subtotals for U.S. and foreign source ordinary dividends are included at the end of this section. For mutual funds and UITs, foreign income includes any distribution that is composed in whole or in part of foreign-sourced ordinary dividends. Report total ordinary dividends on IRS Form 1040, line 9a or IRS Form 1040A. Also report them on IRS Form 1040, or 1040A, Schedule B (Interest and Ordinary Dividends), if required. See the instructions for IRS Form 1040, Schedule B (Interest and Ordinary Dividends) to determine if you are required to complete Schedule B to report your dividends.



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VENKATA S KASIREDDY
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Account Number: T5A-005634

Recipient's Identification
Number: ***-**-2219

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

IRS Form 1099-DIV—Dividends and Distributions (continued):

Box 1b—Qualified Dividends. This shows the portion of the amount in Box 1a that may be eligible for the 20%, 15% or 0% capital gains rates. See the Form 1040 or 1040A instructions for how to determine this amount. Report the eligible amount on line 9b, IRS Form 1040. If you have qualified dividends, you must figure your tax by completing the Qualified Dividends and Capital Gains Tax Worksheet in the IRS Form 1040 or 1040A instructions, or the Schedule D Tax Worksheet in the Schedule D instructions, whichever applies.

Box 2a—Total Capital Gain Distributions. This shows total capital gain distributions (long-term) from a regulated investment company or REIT. Report the amounts shown in Box 2a on Schedule D of IRS Form 1040, line 13. But, if no amount is shown in Boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a on line 13 of IRS Form 1040 (line 10 of Form 1040A) rather than Schedule D. See IRS Form 1040 instructions.

Box 2b—Unrecaptured Section 1250 Gain. This shows the portion of the amount in Box 2a that is an Unrecaptured Section 1250 gain from certain depreciable real property. Use this amount when completing the Unrecaptured Section 1250 Gain Worksheet, line 19, in the instructions for Schedule D (IRS Form 1040).

Box 2c—Section 1202 Gain. This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to 50% exclusion. See the Schedule D (IRS Form 1040) instructions.

Box 2d—Collectibles (28%) Gain. This shows the 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet, line 18, in the instructions for Schedule D (IRS Form 1040).

Box 3—Nondividend Distributions. This shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your investment. But if you have recovered all your cost (or other basis), report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. See IRS Publication 550, Investment Income and Expenses (Including Capital and Losses).

Box 4—Federal Income Tax Withheld. Federal income tax withheld is 28% of dividends. See the Additional Information section of these instructions.

Box 5—Investment Expenses. Your share of a UIT's or a WHFIT's expenses are reported in Box 5. You may deduct these expenses, subject to the 2% limit, on the "Other Expenses" line of IRS Form 1040, Schedule A. These expenses are included in Box 1a.

Box 6—Foreign Tax Paid. This shows the foreign tax you may be able to claim as a deduction or a credit on IRS Form 1040. See the IRS Form 1040 instructions and the Foreign Tax Paid section of these instructions.

Box 12—State. Displays the two letter postal abbreviation of the state(s) for which state income taxes are being withheld.

Box 13—State Identification No. Displays Pershing LLC payer's state identification number(s).

Box 14—State Income Tax Withheld. Displays amount of state income tax(es) withheld.

TAX-EXEMPT DIVIDENDS (Details of Form 1099-DIV)

Description	Date Paid	Exempt Interest Dividends (Box 10)	Specified Private Activity Bond Interest Dividends (Box 11)	Federal Income Tax Withheld (Box 4)	State (Box 12)	State ID Number (Box 13)	State Tax Withheld (Box 14)
FEDERATED GEORGIA MUNICIPAL CASH TRUST	12/31/2017	11.09	11.09				
FOR TAX YEAR 2017	12/31/2017	7.66					
CUSIP: 373993187		-----	-----				
		18.75	11.09				
Total		18.75	11.09	0.00			0.00

Seq.# (T5A 202004)

Page 17 of 21



Recipient's Name and Address:VENKATA S KASIREDDY
SUDHA BYREDDY JT TEN**Account Number: T5A-005634**Recipient's Identification
Number: ***-**-2219**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018****IRS Form 1099-DIV—Tax-Exempt Interest Dividends:****Box 10—Exempt-Interest Dividends.** This shows the exempt-interest dividends from a mutual fund or other regulated investment company (RIC). Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest.**Box 11—Specified Private Activity Bond Interest Dividends.** This box shows exempt-interest dividends paid by a regulated investment company (RIC) on specified private activity bonds subject to the alternative minimum tax. This amount is also included in Box 10. See the instructions for Form 6251.**Box 4—Federal Income Tax Withheld.** Federal income tax withheld is 28% of dividends. See the Additional Information section of these instructions.**Box 12—State.** Displays the two letter postal abbreviation of the state(s) for which state income taxes are being withheld.**Box 13—State Identification No.** Displays Pershing LLC payer's state identification number.**Box 14—State Income Tax Withheld.** Displays amount of state income tax withheld on interest payments reported on this form.**CAPITAL GAIN DISTRIBUTIONS (For individuals, report Total Capital Gain Distributions on Form 1040; Schedule D, Line 13.
To report Unrecaptured Section 1250 Gain, Section 1202 Gain, and Collectibles (28%) Gain, see instructions to Form 1040, Schedule D.)**

Description	Date Paid	Total Capital Gain Distributions (Box 2a)	Unrecaptured Section 1250 Gain (Box 2b)	Section 1202 Gain (Box 2c)	Collectibles (28%) Gain (Box 2d)	Long Term Capital Gain Distributions
ARES CAP CORP COM CUSIP: 04010L103	03/31/2017	10.84				10.84
	06/30/2017	10.84				10.84
	09/29/2017	1.06				1.06
		-----				-----
		22.74				22.74
COHEN & STEERS REIT & PFD INCOME FD INC COM CUSIP: 19247X100	01/31/2017	56.30				56.30
	02/28/2017	56.30				56.30
	03/31/2017	56.30				56.30
	04/28/2017	56.30				56.30
	05/31/2017	32.44				32.44
		-----				-----
		257.64				257.64
COHEN & STEERS INFRASTRUCTURE FD INC COM CUSIP: 19248A109	11/30/2017	68.80				68.80
	12/29/2017	105.99				105.99
		-----				-----
		174.79				174.79
OMEGA HEALTHCARE INVS INC COM CUSIP: 681936100	11/15/2017	1.62	1.62			
TORTOISE PWR & ENERGY Seq.# (T5A 202004)	02/28/2017	12.98				12.98
	03/31/2017	12.98				12.98



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SUDHA BYREDDY JT TEN

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Number: ***-**-2219

**2017 TAX and
YEAR-END STATEMENT
As of 02/22/2018
Mailed by 02/28/2018**

**CAPITAL GAIN DISTRIBUTIONS (For individuals, report Total Capital Gain Distributions on Form 1040; Schedule D, Line 13. (continued)
To report Unrecaptured Section 1250 Gain, Section 1202 Gain, and Collectibles (28%) Gain, see instructions to Form 1040, Schedule D.)**

Description	Date Paid	Total Capital Gain Distributions (Box 2a)	Unrecaptured Section 1250 Gain (Box 2b)	Section 1202 Gain (Box 2c)	Collectibles (28%) Gain (Box 2d)	Long Term Capital Gain Distributions
INFRASTRUCTURE FUND	04/28/2017	12.98				12.98
INC COM	05/31/2017	12.98				12.98
COM	06/30/2017	12.98				12.98
CUSIP: 89147X104	07/31/2017	12.98				12.98
	08/31/2017	12.98				12.98
	09/29/2017	12.98				12.98
	10/31/2017	12.98				12.98
	11/30/2017	12.98				12.98
TORTOISE PWR & ENERGY		129.80				129.80
INFRASTRUCTURE FUND						
INC COM						
COM						
Total		586.59	1.62	0.00	0.00	584.97

IRS Form 1099-DIV—Capital Gain Distributions:

Box 2a—Total Capital Gain Distributions. This shows total capital gain distributions (long-term) from a regulated investment company (RIC) or REIT. Report the amounts shown in Box 2a on Schedule D of IRS Form 1040, line 13. However, if no amount is shown in Boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a on line 13 of IRS Form 1040 (line 10 of Form 1040A) rather than Schedule D. See IRS Form 1040 instructions.

Box 2b—Unrecaptured Section 1250 Gain. This shows the portion of the amount in Box 2a that is an Unrecaptured Section 1250 gain from certain depreciable real property. Use this amount when completing the Unrecaptured Section 1250 Gain Worksheet found in the line 19 instructions for Schedule D (IRS Form 1040).

Box 2c—Section 1202 Gain. This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (IRS Form 1040) instructions.

Box 2d—Collectibles (28%) Gain. This shows the 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet, line 18, in the instructions for Schedule D (IRS Form 1040).



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SUDHA BYREDDY JT TEN**Account Number:** T5A-005634Recipient's Identification
Number: ***-**-2219**2017 TAX and
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Mailed by 02/28/2018****TRANSACTIONS WE DO NOT REPORT TO THE IRS****ADVISORY FEES AND OTHER EXPENSES**

Transaction Type	Description	CUSIP	Date Paid	Amount
MANAGEMENT FEE PAID			01/04/2017	(514.71)
MANAGEMENT FEE PAID			04/04/2017	(453.88)
MANAGEMENT FEE PAID			07/05/2017	(471.99)
MANAGEMENT FEE PAID			10/03/2017	(483.16)
Total				(1,923.74)

Advisory Fees and Other Expenses. Certain Advisory Fees and Other Expenses charged to your account during the tax year will be displayed in this section. Advisory fees are generally deductible to the extent they exceed 2% of your AGI on IRS Form 1040, Schedule A.

Tax Information Statement Instructions:

The Tax Information Statement provides a detailed summary of your account transactions during 2017. It includes information related to transactions we are required to report to the IRS, as well as information that we do not report. The instructions are provided to help you prepare your tax returns. For a more detailed explanation of your Tax Information Statement and to view the Tax Guide, please visit mytaxhandbook.com. If your account was transferred to our firm during 2017, your Tax Information Statement only includes your activity during the time you conducted business with our firm. Your former firm should provide you with IRS Form 1099 reporting for prior activity. These instructions have been tailored for use by taxpayers that are U.S. individuals who are investors for tax purposes.

Additional Information:

Recipient's Identification Number. For your protection, this form shows only the last four digits of your Social Security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN) or employer identification number (EIN). However, Pershing LLC will report your complete identification number to the IRS and, where applicable, to state or local governments.

Account Number. A unique number the payer assigned to distinguish your account.

FATCA Filing Requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions to Form 8938. For 2017, this box has been intentionally left blank.

Electronic Delivery. The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, speak to your advisor for more information.

Nominee Recipients. If your truncated Social Security number or Employer Identification Number is shown on your Tax Information Statement, and the statement includes amounts belonging to another person, you are considered a nominee recipient. Generally, you must file IRS Form 1099 for each of the other owners, showing the income allocable to each. File the applicable IRS Form 1099, along with IRS Form 1096 (Annual Summary and Transmittal of U.S. Information Returns), with the IRS center in your area. List yourself as the payer on IRS Form 1099 and as the filer on IRS Form 1096. List the other owner(s) as the recipient(s) on IRS Form 1099. You must provide a copy of each IRS Form 1099 you file to the other owner(s). Spouses are not required to file a nominee return to show amounts owned by their spouse.



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SUDHA BYREDDY JT TEN**Account Number: T5A-005634**Recipient's Identification
Number: ***-**-2219**2017 TAX and
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As of 02/22/2018
Mailed by 02/28/2018****Tax Information Statement Instructions (continued):**

Payer. The payer for all transactions on your Tax Information Statement is Pershing LLC (Taxpayer Identification Number 13-2741729). This name and Taxpayer Identification Number should be listed wherever the payer's name is requested on an IRS form with respect to amounts reported on your Tax Information Statement.

Corrections. Please review your Tax Information Statement. If it is incorrect, contact your advisor or financial organization. If necessary, we will promptly correct the information provided to the IRS and mail a Revised Tax Information Statement to you. After the initial mailing, revised statements will begin mailing in February and will be mailed at least weekly from March through the end of June. Per recent revisions to the Tax Code, if we make an income correction to your account after issuing your tax statement and the correction is an increase or decrease of less than \$100 of income we may not send you a revised tax statement. If you would like to receive revisions that are less than \$100 please contact your Investment Professional. This change to the law is first effective for tax statements filed with the IRS or furnished to you after December 31, 2016.

Federal Income Tax Withheld. Federal income tax withheld is 28% of interest, dividends and proceeds from broker and barter exchange transactions, and could be reported in any of these sections of your Tax Information Statement. Backup withholding applies when certain conditions exist. If this Tax Information Statement reflects backup withholding, you may need to provide a new IRS Form W-9 (Request for Taxpayer Identification Number and Certification). See IRS Form W-9 for information on backup withholding and how to furnish your Taxpayer Identification Number.

State and Local Tax Reporting. We are required to provide information to a number of state and local jurisdictions. We report information to California, Connecticut, Massachusetts, Minnesota, New York and Rhode Island concerning municipal bond interest income earned by state residents from bonds not issued by these states. We report certain 1099 information directly to Delaware, District of Columbia, Maine, Massachusetts, Mississippi, Montana, Oregon, and Rhode Island. For 2017, we withheld, remitted and reported state income tax for California, Maine and Vermont according to the requirements of those states. We also provided tax information to Puerto Rico as required by the Puerto Rico taxing authority. Check with your tax professional for your specific state and local tax reporting requirements.

Cost Basis Adjustments. There are times when your cost basis reported from a broker will not match your calculations for reporting purposes. IRS Form 8949 allows you and the IRS to reconcile amounts that were reported to you and the IRS on IRS Form 1099-B with the amounts you report on your return.

Important Note Concerning Cost Basis. Your original cost basis for each security affects much of the reporting in this document. You should pay special attention to the basis of any item where we received the basis from you or a third party. If the original basis shown in this tax document is not correct, then the results of our calculations will likewise produce incorrect results. The IRS requires us to remind you that the taxpayer is ultimately responsible for the accuracy of your tax return.

Form 2439. If you held shares in a mutual fund or REIT that paid tax on undistributed long-term capital gains, we will issue IRS Form 2439 (Notice to Shareholder of Undistributed Long-Term Capital Gains) to you. This information is provided after the close of the fund's or the REIT's tax year and will be sent to you shortly after the information becomes available.

Foreign Tax Paid. Your Tax Information Statement may include foreign tax paid (withheld) from foreign source dividends and interest credited to your account during 2017. This information allows you to calculate and claim a foreign tax credit or deduction on your tax return, if you are eligible to do so. A foreign tax credit can be claimed either on IRS Form 1116 (Foreign Tax Credit) or, in some circumstances, by reporting it directly on IRS Form 1040, Schedule A (Itemized Deductions). See instructions for both IRS Form 1116 and IRS Form 1040 for additional information on foreign tax credits and deductions. Foreign tax paid is reported in the Form 1099-INT and Form 1099-DIV sections of your Tax Information Statement. You should combine these amounts to determine your total foreign tax credit or deduction. A foreign tax credit may be claimed only if the tax was legally owed and not eligible for a refund.

IRS Publications. IRS publications are available through your local IRS office, by calling the IRS Forms Distribution Center at (800) TAX-FORM, or visiting the IRS website at irs.gov. For instance, IRS Publication 550 and IRS Publication 1212 provide useful tax information related to reporting securities transactions.

To receive more information regarding your Tax Information Statement and the Tax Guide, including illustrations on how to report your income, visit mytaxhandbook.com.

The instructions contained in this Tax Information Statement are not intended to provide tax advisory services. We suggest that you consult with your tax professional to discuss the appropriate federal, foreign, state and local tax treatment of your transactions. These instructions, as well as your Tax Information Statement, are solely intended to help you accumulate data to prepare your income tax return and should not be interpreted or relied upon as tax advice.

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